PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q67940

Masaki KURIHARA, et al.

Appln. No.: 10/035,137

Allowed: May 17, 2004

Confirmation No.: 3139

Group Art Unit: 1752

Filed: January 04, 2002

Examiner: John Chu

FAX RECEIVED

POSITIVE PHOTORESIST COMPOSITION

DEC 2 8 2004

OFFICE OF PETITIONS

INFORMATION DISCLOSURE STATEMENT

UNDER 37 C.F.R. §§ 1.97 and 1.98

MAIL STOP ISSUE FEE Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

For:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith, except for the following: U.S. patents and/or U.S. patent publications; and co-pending non-provisional U.S. applications filed after June 30, 2003.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date; (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after (TUE) 12. 28' 04 15:56/ST. 15:52/NO. 4200000743 P 12

FROM SUGHRUE, MION

INFORMATION DISCLOSURE STATEMENT

U.S. Appln. No.: 10/035,137

Attorney Docket No. Q67940

filing a request for continued examination (RCE) under §1.114, and therefore, no Statement

under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for

foreign language documents, Applicant encloses herewith a copy of a Communication from a

foreign patent office in a counterpart application citing such documents, together with an

English-language version (if not already included) of at least that portion of the Communication

indicating the degree of relevance found by the foreign patent office.

The submission of the listed documents is not intended as an admission that any such

document constitutes prior art against the claims of the present application. Applicant does not

waive any right to take any action that would be appropriate to antedate or otherwise remove any

listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue

Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any

overpayments to said Deposit Account. A duplicate copy of this paper is attached.

Respectfully submitted,

Bruce E. Kramer / Ly Rogs of Luch Bruce E. Kramer Registration No. 33,725

SUGHRUE MION, PLLC Telephone: (202) 293-7060

Facsimile: (202) 293-7860

WASHINGTON OFFICE

23373

CUSTOMER NUMBER

Date: December 28, 2004

2

INFORMATION DISCLOSURE STATEMENT

U.S. Appln. No.: 10/035,137

Attorney Docket No. Q67940

CERTIFICATION OF FACSIMILE TRANSMISSION

Sir:

I hereby certify that the above identified correspondence is being facsimile transmitted to Petitions Branch at the Patent and Trademark Office on December 28, 2004, at (571)273-0025.

Respectfully submitted,

Bruce E. Kramer by Joseph Ruch Fruce E. Kramer Ry No. 24,57?

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q67940

Masaki KURIHARA, et al.

Appln, No.: 10/035,137

Allowed: May 17, 2004

Confirmation No.: 3139

Group Art Unit: 1752

Filed: January 04, 2002

Examiner: John Chu

For: P

POSITIVE PHOTORESIST COMPOSITION

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.97 and 1.98

FAX RECEIVED

DEC 2 8 2004

MAIL STOP ISSUE FEE

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 OFFICE OF PETITIONS

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith, except for the following: U.S. patents and/or U.S. patent publications; and co-pending non-provisional U.S. applications filed after June 30, 2003.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date; (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after

(TUE) 12. 28' 04 15:57/ST. 15:52/NO. 4200000743 P 15

FROM SUGHRUE, MION

INFORMATION DISCLOSURE STATEMENT

U.S. Appln. No.: 10/035,137

Attorney Docket No. Q67940

filing a request for continued examination (RCE) under §1.114, and therefore, no Statement

under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for

foreign language documents, Applicant encloses herewith a copy of a Communication from a

foreign patent office in a counterpart application citing such documents, together with an

English-language version (if not already included) of at least that portion of the Communication

indicating the degree of relevance found by the foreign patent office.

The submission of the listed documents is not intended as an admission that any such

document constitutes prior art against the claims of the present application. Applicant does not

waive any right to take any action that would be appropriate to antedate or otherwise remove any

listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue

Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any

overpayments to said Deposit Account. A duplicate copy of this paper is attached.

Respectfully submitted,

Bruce E. Kramer / Ly Joseph Ruchf Bruce E. Kramer Registration No. 33,725 Ry No. 26,577

SUGHRUE MION, PLLC

Telephone: (202) 293-7060

Facsimile: (202) 293-7860

WASHINGTON OFFICE

23373

CUSTOMER NUMBER

Date: December 28, 2004

2

INFORMATION DISCLOSURE STATEMENT

U.S. Appln. No.: 10/035,137

Attorney Docket No. Q67940

CERTIFICATION OF FACSIMILE TRANSMISSION

Sir:

I hereby certify that the above identified correspondence is being facsimile transmitted to Petitions Branch at the Patent and Trademark Office on December 28, 2004, at (571)273-0025.

Respectfully submitted,

Bruce E. Kramer by Joseph Ruch Bruce E. Kramer Ry No. 26,577

US US US

						MODIFIED PTO/SD/08 A & B (06-03	
Substitute for Form 1449 A & B/PTO				Complete if Known			
SUCCESSION FOR EACH AND					ication Number	10/035,137	
INFORMATION DISCLOSURE						3139	
					January 04, 2002		
						Masaki KURIHARA	
(use as many sheets as necessary)					Jnit .	1752	
				Exan	niner Name	John Chu	
Sheet 1 of 1				Attor	ney Docket Number	Q67940	
		U.S. P.	ATENT D	OCUM	IENTS		
Dogwood Member							
No.1	Kind Codo! Zubitchich Di						
	US 5,652,081	A	07-29-199	7	Tan et al.		
	US 5,738,968	A	04-14-199	8	Hosoda et al.	FAX RECEIVED	
	US 5,985,507	A	11-16-199	9	Blakeney et al.	TAX NEUEIVED	
	US						
	US					DEC 2 8 2004	
	INFO STAT	INFORMATION DISC STATEMENT BY AP	INFORMATION DISCLOSURE STATEMENT BY APPLICANT	INFORMATION DISCLOSURE STATEMENT BY APPLICANT	INFORMATION DISCLOSURE Conf	Application Number Confirmation Number Filing Date First Named Inventor Art Unit Examiner Name Lus. PATENT DOCUMENTS Cite No. 1 US 5,652,081 US 5,738,968 US 5,985,507 Application Number Confirmation Number Filing Date First Named Inventor Art Unit Examiner Name Attorney Docket Number Publication Date MM-DD-YYYY Name of Patents WM-DD-YYYY Name of Patents US 5,738,968 A 04-14-1998 Hosoda et al. US 5,985,507 A 11-16-1999 Blakeney et al.	

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date	Name of Patentee or	
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)	MM-DD-YYYY	Applicant of Cited Document	Translation ⁶
		JР	6-167805	A	06-14-1994	SUMITOMO CHEM CO LTD	ABSTRACT
		 					
		 	ļ	 			
		 		 	<u> </u>		
		 		 			
		 		 			
		 		1			
							
							+
		L					

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation ⁶

Examiner Signature	Date Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ³Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to indicate here if English language Translation is attached.